

**BSE LTD**  
**ACKNOWLEDGEMENT**

Acknowledgement No	: 1804201706425631	Date & Time	: 18/04/2017 06:42:56 PM
Scrip Code	: 526652		
Entity Name	: CALS REFINERIES LIMITED		
Compliance Type	: Regulation 31 - Shareholding pattern		
Quarter / Period	: 31/03/2017		
Mode	: E-Filing		

<b>General information about company</b>	
Scrip code	526652
Name of the company	CALS REFINERIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	1343196000		0	1343196000	18.14	1343196000		1343196000	18.14
(B)	Public	180094	6063227650		0	6063227650	81.86	6063227650		6063227650	81.86
(C)	Non Promoter- Non Public	1	0		887539450	887539450		0		0	0
(C1)	Shares underlying DRs	1	0		887539450	887539450		0		0	0
(C2)	Shares held by Employee Trusts										
	Total	180097	7406423650		887539450	8293963100	100	7406423650		7406423650	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				18.14					1343196000
(B)	Public				81.86					5926541643
(C)	Non Promoter-Non Public									0
(C1)	Shares underlying DRs									0
(C2)	Shares held by Employee Trusts									
	Total				100					7269737643

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights	Class eg: X		
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	233196000		0	233196000	3.15	233196000		233196000	3.15
Sub-Total (A) (1)		1	233196000		0	233196000	3.15	233196000		233196000	3.15
(2)	Foreign										
(a)	Individuals (NonResident Individuals/ Foreign Individuals)	1	1110000000		0	1110000000	14.99	1110000000		1110000000	14.99
Sub-Total (A) (2)		1	1110000000		0	1110000000	14.99	1110000000		1110000000	14.99
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	1343196000		0	1343196000	18.14	1343196000		1343196000	18.14
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	112000		0	112000	0	112000		112000	0
(e)	Foreign Portfolio Investors	1	10		0	10	0	10		10	0
(f)	Financial Institutions/ Banks	4	259200		0	259200	0	259200		259200	0
Sub-Total (B) (1)		10	371210		0	371210	0.01	371210		371210	0.01
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	175131	2927472370		0	2927472370	39.53	2927472370		2927472370	39.53
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3333	2508373596		0	2508373596	33.87	2508373596		2508373596	33.87
(b)	NBFCs registered with RBI	4	102500		0	102500	0	102500		102500	0
(e)	Any Other (specify)	1616	626907974		0	626907974	8.46	626907974		626907974	8.46
Sub-Total (B) (3)		180084	6062856440		0	6062856440	81.86	6062856440		6062856440	81.86
Total Public Shareholding		180094	6063227650		0	6063227650	81.86	6063227650		6063227650	81.86

(B)=(B)(1)+ (B)(2)+(B)(3)											
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
( 1 )	Custodian/DR Holder - Name of DR Holders (If Available)	1	0		887539450	887539450		0		0	0
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)		1	0		887539450	887539450		0		0	0
Total (A+B+C2 )		180096	7406423650		0	7406423650	100	7406423650		7406423650	100
Total (A+B+C )		180097	7406423650		887539450	8293963100	100	7406423650		7406423650	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				3.15					233196000
Sub-Total (A)(1)				3.15					233196000
(2)	Foreign								
(a)				14.99					1110000000
Sub-Total (A)(2)				14.99					1110000000
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				18.14					1343196000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					0
(c)				0					10
(f)				0					181200
Sub-Total (B)(1)				0.01					181210
(3)	Non-institutions								
(a(i))				39.53					2914412663
(a(ii))				33.87					2505866396
(b)				0					102500
(c)				8.46					505978874
Sub-Total (B)(3)				81.86					5926360433
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				81.86					5926541643
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(1)									0
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									0
Total ( A+B+C2 )				100					7269737643
Total (A+B+C)				100					7269737643
Disclosure of notes on shareholding pattern									Textual Information(1)



**Text Block**

Textual Information()

The Equity Shareholding of 120767100 as disclosed under Overseas Bodies Coprorate in any other Catagory of Non-Institution Public Shareholdig [B(3)(e)] is held by Aborro Limited, a foreign company. Being a Foreign Company the PAN is not available.

<b>Any Other (specify)</b>		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Spice Energy Private Limited	
PAN (II)	AALCS1640Q	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	233196000	233196000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)	0	0
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	233196000	233196000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.15	3.15
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	233196000	233196000
Class eg:y		
Total	233196000	233196000
Total as a % of Total Voting rights	3.15	3.15
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	3.15	3.15
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	233196000	233196000
Reason for not providing PAN		

<b>Individuals (NonResident Individuals/ Foreign Individuals)</b>		
Serial No.	1	
Name of the Shareholders (I)	GAGAN DEEP KUMAR RASTOGI	<a href="#">Click here to go back</a>
PAN (II)	AAFPR0532F	Total
No. of fully paid up equity shares held (IV)	1110000000	1110000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)	0	0
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1110000000	1110000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.99	14.99
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	1110000000	1110000000
Class eg:y		
Total	1110000000	1110000000
Total as a % of Total Voting rights	14.99	14.99
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	14.99	14.99
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	1110000000	1110000000
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	Bodies Corporate	NRI – Repat	NRI – Non- Repat	Trusts	Overseas corporate bodies	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)						<a href="#">Click here to go back</a>
PAN (II)						Total
No. of the Shareholders (I)	943	490	177	5	1	1616
No. of fully paid up equity shares held (IV)	378005990	105087165	22912019	135700	120767100	626907974
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)	0	0	0	0	0	0
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	378005990	105087165	22912019	135700	120767100	626907974
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.1	1.42	0.31	0	1.63	8.46
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	378005990	105087165	22912019	135700	120767100	626907974
Class eg:y						
Total	378005990	105087165	22912019	135700	120767100	626907974
Total as a % of Total Voting rights	5.1	1.42	0.31	0	1.63	8.46
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	5.1	1.42	0.31	0	1.63	8.46
Number of Locked in shares (XII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	377849990	105081165	22912019	135700	0	505978874
Reason for not providing PAN						
Reason for not providing PAN						

<b>Custodian/DR Holder - Name of DR Holders (If Available)</b>		
Serial No.	1	
Category	GDR	
Bank Name	THE BANK OF NEW YORK MELLON, DR	
Name of the Shareholders (I)	THE BANK OF NEW YORK MELLON, DR	<a href="#">Click here to go back</a>
PAN (II)	AACCT2969G	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	0	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)	887539450	887539450
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	887539450	887539450
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)		
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	0	0
Class eg:y		
Total	0	0
Total as a % of Total Voting rights	0.00	0.00
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)		
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		



Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN		

