

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 1710201703581731	Date & Time	: 17/10/2017 03:58:17 PM
Scrip Code	: 526652		
Entity Name	: CALS REFINERIES LIMITED		
Compliance Type	: Regulation 31 - Shareholding pattern		
Quarter / Period	: 30/09/2017		
Mode	: E-Filing		

General information about company	
Scrip code	526652
Name of the company	CALS REFINERIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	1343196000		0	1343196000	16.28	1343196000		1343196000	16.28
(B)	Public	180618	6908887650		0	6908887650	83.72	6908887650		6908887650	83.72
(C)	Non Promoter-Non Public	1	0		41879450	41879450		0		0	0
(C1)	Shares underlying DRs	1	0		41879450	41879450		0		0	0
(C2)	Shares held by Employee Trusts										
	Total	180621	8252083650		41879450	8293963100	100	8252083650		8252083650	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				16.28					1343196000
(B)	Public				83.72					6771817574
(C)	Non Promoter-Non Public									41879450
(C1)	Shares underlying DRs									41879450
(C2)	Shares held by Employee Trusts									
	Total				100					8156893024

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) Group	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	233196000		0	233196000	2.83	233196000		233196000	2.83
Sub-Total (A) (1)		1	233196000		0	233196000	2.83	233196000		233196000	2.83
(2)	Foreign										
(a)	Individuals (NonResident Individuals/ Foreign Individuals)	1	1110000000		0	1110000000	13.45	1110000000		1110000000	13.45
Sub-Total (A) (2)		1	1110000000		0	1110000000	13.45	1110000000		1110000000	13.45
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		2	1343196000		0	1343196000	16.28	1343196000		1343196000	16.28
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	112000		0	112000	0	112000		112000	0
(e)	Foreign Portfolio Investors	2	845660010		0	845660010	10.25	845660010		845660010	10.25
(f)	Financial Institutions/ Banks	3	178000		0	178000	0	178000		178000	0
Sub-Total (B) (1)		10	845950010		0	845950010	10.25	845950010		845950010	10.25
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	175634	2939040483		0	2939040483	35.62	2939040483		2939040483	35.62
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3373	2519925604		0	2519925604	30.54	2519925604		2519925604	30.54
(b)	NBFCs registered with RBI	3	98000		0	98000	0	98000		98000	0
(e)	Any Other (specify)	1598	603873553		0	603873553	7.32	603873553		603873553	7.32
Sub-Total (B) (3)		180608	6062937640		0	6062937640	73.47	6062937640		6062937640	73.47
Total Public Shareholding		180618	6908887650		0	6908887650	83.72	6908887650		6908887650	83.72

(B)=(B)(1)+ (B)(2)+(B)(3)											
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
(1)	Custodian/DR Holder - Name of DR Holders (if Available)	1	0		41879450	41879450		0		0	0
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)		1	0		41879450	41879450		0		0	0
Total (A+B+C2)		180620	8252083650		0	8252083650	100	8252083650		8252083650	100
Total (A+B+C)		180621	8252083650		41879450	8293963100	100	8252083650		8252083650	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				2.83					233196000
Sub-Total (A)(1)				2.83					233196000
(2)	Foreign								
(a)				13.45					1110000000
Sub-Total (A)(2)				13.45					1110000000
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				16.28					1343196000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					0
(e)				10.25					845660010
(f)				0					100000
Sub-Total (B)(1)				10.25					845760010
(3)	Non-institutions								
(a(i))				35.62					2925996707
(a(ii))				30.54					2517018404
(b)				0					98000
(e)				7.32					482944453
Sub-Total (B)(3)				73.47					5926057564
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				83.72					6771817574
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(1)									41879450
Total NonPromoter- Non Public Shareholding (C)=(C)(1)+(C)(2)									41879450
Total (A+B+C2)				100					8115013574
Total (A+B+C)				100					8156893024
Disclosure of notes on shareholding pattern									Textual Information(1)

Text Block

Textual Information()

The Equity Shareholding of 120767100 as disclosed under Overseas Bodies Coporate in any other Category of Non-Institution Public Shareholdig [B(3)(e)] is held by Aborro Limited, a foreign company. Being a Foreign Company the PAN is not available.

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SPICE ENERGY PRIVATE LIMITED	
PAN (II)	AALCS1640Q	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	233196000	233196000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)	0	0
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	233196000	233196000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.83	2.83
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	233196000	233196000
Class eg: y		
Total	233196000	233196000
Total as a % of Total Voting rights	2.83	2.83
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (XI)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	2.83	2.83
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	233196000	233196000
Reason for not providing PAN		

Individuals (NonResident Individuals/ Foreign Individuals)		
Serial No.	1	
Name of the Shareholders (I)	GAGAN DEEP KUMAR RASTOGI	Click here to go back
PAN (II)	AAFPR0532F	Total
No. of fully paid up equity shares held (IV)	1110000000	1110000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)	0	0
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1110000000	1110000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.45	13.45
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1110000000	1110000000
Class eg:y		
Total	1110000000	1110000000
Total as a % of Total Voting rights	13.45	13.45
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	13.45	13.45
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	1110000000	1110000000
Reason for not providing PAN		
Reason for not providing PAN		

Foreign Portfolio Investors

Serial No.	1	
Name of the Shareholders (I)	DUBAI VENTURES GROUP LIMITED	Click here to go back
PAN (II)	AADCD1682P	Total
No. of fully paid up equity shares held (IV)	845660000	845660000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)	0	0
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	845660000	845660000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.25	10.25
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	845660000	845660000
Class eg:y		
Total	845660000	845660000
Total as a % of Total Voting rights	10.25	10.25
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	10.25	10.25
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	845660000	845660000
Reason for not providing PAN		

Reason for not providing PAN		
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Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	Bodies Corporate	NRI – Repat	NRI – Non- Repat	Trusts	Overseas corporate bodies	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)						Click here to go back
PAN (II)						Total
No. of the Shareholders (I)	914	482	197	4	1	1598
No. of fully paid up equity shares held (IV)	354922202	103654934	24411617	117700	120767100	603873553
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)	0	0	0	0	0	0
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	354922202	103654934	24411617	117700	120767100	603873553
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.3	1.26	0.3	0	1.46	7.32
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	354922202	103654934	24411617	117700	120767100	603873553
Class eg: y						
Total	354922202	103654934	24411617	117700	120767100	603873553
Total as a % of Total Voting rights	4.3	1.26	0.3	0	1.46	7.32
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.3	1.26	0.3	0	1.46	7.32
Number of Locked in shares (XII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	354766202	103648934	24411617	117700	0	482944453
Reason for not providing PAN						
Reason for not providing PAN						

Custodian/DR Holder - Name of DR Holders (If Available)		
Serial No.	1	
Category	GDR	
Bank Name	THE BANK OF NEW YORK MELLON, DR	
Name of the Shareholders (I)	THE BANK OF NEW YORK MELLON, DR	Click here to go back
PAN (II)	AACCT2969G	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	0	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)	41879450	41879450
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41879450	41879450
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)		
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	0	0
Class eg: y		
Total	0	0
Total as a % of Total Voting rights	0.00	0.00
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (XI)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN		